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IAP9 Rec'd PCT/PTO 05 SEP 2006 396 46578X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HARA, et al.

Serial No.: Not Yet Assigned

Filed: September 5, 2006

Title: Process for Producing Optically Active Fluorochemical

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 5, 2006

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of the documents, except for the US patent documents listed in the attached form equivalent to Form(s) PTO/SB/08A and/or PTO/SB/08B for the Examiner's consideration.

This information disclosure statement is being submitted with the new application.

To the extent that the documents listed on the attached form equivalent to Form(s) PTO/SB/08A and/or PTO/SB/08B are not in the English language, the requirement of 37 CFR 1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language abstract an English language version or translation of the foreign patent office report citing the documents.

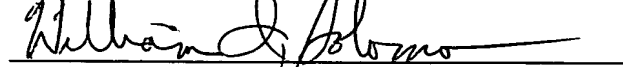
It is respectfully requested that this information disclosure statement be considered by the Examiner.

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Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (396.46578X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



William I. Solomon
Registration No. 28,565

WIS/aam
1300 North Seventeenth Street
Suite 1800
Arlington, VA 22209
Telephone: (703) 312-6600
Fax: (703) 312-6666

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| Substitute for form 1449A/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p> | | Complete if Known | |
| | | Application Number | |
| | | Filing Date | September 5, 2006 |
| | | First Named Inventor | Shoji HARA et al. |
| | | Art Unit | |
| | | Examiner Name | |
| Sheet 1 of 1 | | Attorney Docket Number | 396.46578X00 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------------------|--------------------------|--|--|--------------------------------|--|---|
| Examiner Initials ¹ | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | | |
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| | | JP 04-234333 | 08/24/1992 | Bayer AG | | Abs |
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| Examiner Signature | | Date Considered | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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